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|--|-------------|---|-----------------|----------------------------|--------------------------|-----------------------------------|
| TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER / 562 400 | | | | | | |
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED | | | | | | |
| INTE | | PCT/JP2004/0090 | 26 | INTERNATIONAL FILING | | PRIORITY DATE CLAIMED 06/27/2003 |
| PCT/JP2004/009026 06/25/2004 06/27/2003 TITLE OF INVENTION | | | | | | |
| PLASMA GENERATION METHOD, CLEANING METHOD, AND SUBSTRATE PROCESSING METHOD | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | |
| Hiroshi KANNAN, et al. | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | |
| 3. | | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | |
| 4. | | The US has been elected (Article 31). | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | |
| | | b. 🔀 has been communicated by the International Bureau. | | | | |
| | | c. Is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | Office (RO/US). |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | |
| | _ | a. 🔀 is attached hereto. | | | | |
| | | b. has been | previously subm | itted under 35 U.S.C. 15 | 4(d)(4). | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | |
| | • | a. are attached hereto (required only if not communicated by the International Bureau). | | | | |
| | | b. have been communicated by the International Bureau. | | | | |
| | | c. have not t | een made; how | ever, the time limit for m | aking such amendments | has NOT expired. |
| | | d. have not been made and will not be made. | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | |
| 9. | | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | |
| ±10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, International Search Report dated October 12, 2004 and nine (9) cited references. | | | | |
| 12. | | An assignment document for recording. A separate cover sheet | | | et in compliance with 37 | CFR 3.28 and 3.31 is included. |
| 13. | \boxtimes | A preliminary amendment. | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. (five (5) sheets) | | | 6) | |
| 15. | | A substitute specification. | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | |
| 18. | | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | |
| 20. | \boxtimes | Other items or information: sixteen (16) sheets of Formal Drawings/showing Figs. 1, 2A-2E and 3 -15, Form PCT/IB/304 and | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

 \boxtimes

Form PCT/IB/308.

IAP5 Rec'd PCT/PTO 27 DEC 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021
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INTERNATIONAL APPLICATION NO. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (intrown, see 37 CFR 15) (To Be Assigned) 10/5624 ATTORNEY'S DOCKET NUMBER PCT/JP2004/009026 010986.57272US CALCULATIONS PTO USE ONLY Basic national fee (37 C.F.R. §1.492(a))\$300.00 \$300.00 Examination fee (37 C.F.R. §1.492(c)) 冈 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00 \$200.00 All other situations..... Search fee (37 C.F.R. §1.492(b)) 冈 If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 _____\$500 \$400.00 All other situations.. **TOTAL OF 21, 22 AND 23 =** \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets** Extra Sheets RATE thereof (round up to a whole number) \$ 79 - 100 0 / 50 = × 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(h)). NUMBER EXTRA **CLAIMS** NUMBER FILED RATE Total claims 76- 20 50 \$2,800.00 5 200 \$1,000.00 Independent claims 8 - 3 MULTIPLE DEPENDENT CLAIM(S) (if applicable) 360 TOTAL OF ABOVE CALCULATIONS = \$4,830.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$4,830.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i))... \$4,830.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$ Amount to be refunded: Amount to be \$4,830.00 charged: to cover the above fees is enclosed. A check in the amount of \$ Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 010986.57272US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 010986.57272US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE Jeffrey D. Sanok / Stephen W. Palan P.O. Box 14300 NAME Washington, D.C. 20044-4300 32,169/ 43,420 Tel. No. (202) 624-2500 REGISTRATION NUMBER Fax No. (202) 628-8844 December 27, 2005 JDS/SWP/lck DATE